"Ask First!" This form is to be tilled out by any person who is offering legal, financial, retirement, insurance, accounting, estate, long-term care or similar planning services. Respond to **ALL** categories completely; sign and date at the bottom of the page.

MY EDUCATION- I have achieved the following level of education (check HIGHEST level achieved):

Some High School	Some College
GED	Bachelors Degree - yes
High School Diploma	Masters or Other Advanced Degree - yes JD

MY CREDENTIAL(S)- I have the following specialized credential(s) and training (examples: CFP, ChFC, CLU, CPA, JD, MBA, years of relevant experience): JD; Registered Investment Advisor; Registered Fiduciary with State of California and Orange County Probate Court

MY RELEVANT LICENSE(S)- I have the following license(s) giving me the legal authority to provide the services I am offering to you (bar license (attorney); securities license; insurance license):

License Type	Covers What Activities	Issued By	License No.
Fiduciary	Trustee, Wills, Power of Attorney	California	268

LEGAL SERVICES - (Check ONE):

X I DO NOT practice law, and the services I am offering to you do not involve practicing law.
- I DO practice law, and have an active license to practice law in California.
- I DO practice law, but DO NOT have an active license to practice law in California.

OUR BUSINESS RELATIONSHIP: TRUE – In our business relationship, I will at all times serve as a fiduciary and put your interest before my interests and those of my employer.

MY COMPENSATION- I will be paid in the following way (commission, fee, salary, etc.), by the named person or company, in connection with the services I am offering to you:

Way(s) I'll Be Paid: FEE ONLY
1% annually on the first $5 million;
Please request a fee schedule

Payment will be made by: CLIENT, TRUST

FINANCIAL PRODUCTS / AFFILIATED ORGANIZATIONS - Check TRUE or FALSE: **FALSE**
True / **False**: I offer or sell annuities, insurance, mutual funds or other financial products; or I am, or my employer is, affiliated with a person or organization that offers or sells annuities, insurance, mutual funds or other financial products.

I certify under penalty of perjury that the responses herein are true to the best of my knowledge.	
Date: 2023/2024	Business Name: Professional Fiduciary Services
Signature:	Address: 23601 Moulton Parkway, Suite E Laguna Hills, CA 92653
Print Name: Peter C. Kote	Telephone: (949) 600 – 8625 www.trusteepro.com

A Non-Profit Information Resource for Older Adults
1404 Cravens Avenue
Torrance, California 90501
(310) 533-1996 Also free for Internet download at: http://www.help4srs.org

Peter C. Kote JD

Curriculum Vitae

Work Experience

Mr. Kote is founder of Professional Fiduciary Services (PFS), a select group of individuals ready to serve in fiduciary capacities including trustee, executor, conservator, or agent for the Advance Health Care Directive, Durable or General Power of Attorney for Asset and Property Management. The vision of PFS is to offer ethical, qualified and personable independent fiduciaries who work together to provide quality service for their clients. Mr. Kote's clients range in age of seven to ninety three years old.

Mr. Kote has been a professional fiduciary since 1996. Prior to this, he was a professional in the gift-planning arena and worked for a variety of non-profits. He has been involved in raising over $50 million for various charities. Mr. Kote's previous non-profit employment was with Father Flanagan's Boys' Town out of Omaha, Nebraska; Casa Colina Rehabilitation Hospital Foundation; The National Financial Planning Foundation; The American Heart Association, American Red Cross, Long Beach State University, The National Conference of Christians and Jews, The Youth Development Project and South County Senior Services.

Mr. Kote's financial planning experience comes from his work with the International Association for Financial Planning (known today as the Financial Planning Association.) Mr. Kote also served as a trust officer with First Atlanta Bank and Wachovia Bank in Atlanta, Georgia for two years.

Education

Father Flanagan's Boys Town – Family Teacher Credential, 1991
Western State University College of Law, Jurist Doctorate 1980
California State University, Long Beach – Education Credential, Special Education Credential and Masters Program in Communicative Disorders 1974 – 1977 (NO DEGREE – decided on law school)
California State University, Fullerton – Bachelor of Arts, Communication major 1971

Past Volunteer Leadership Positions

Laguna Canyon Foundation; SchoolPower Endowment Foundation; Laguna Beach Community Foundation – Founding Trustee; National Planned Giving Committee – Orange County Chapter past president and board member, national liaison;National Fund Raising Professionals – Orange County Chapter board member; Laguna Beach City Open Space Committee – Chairperson
Laguna Beach High School – Athletic booster's president

Past Registrations

California Statewide Registry of Private Conservators and Guardians – SR# 03-400
Registered Investment Advisor – Registration #923-3761
Orange County Probate Court – Private Professional Conservator Statement for Registration

Volunteer Activity Today

Financial and Estate Literacy – Founder (501 C-3 charitable corporation) Chairperson; conduct the It's Your Money and It's Your Estate workshop program.