

This form is to be filled out by any person who is offering legal, financial, retirement, insurance, accounting, estate, long-term care or similar planning services. Respond to ALL categories completely; sign and date at the bottom of the page.

① MY EDUCATION- I hav	e achieved the following	level of education (che	ck HIGHEST le	vel achieved):	
☐ Some High School	☐ High Scho	☐ High School Diploma		□ Bachelor's Degree	
□ GED	□ Some Coll	☐ Some College		X Masters or other Advanced Degree	
② MY CREDENTIAL(S)- CLU, CPA, JD, MBA, year Master's degree in	s of relevant experience	):			
2017	0,7			,	
③ MY RELEVANT LICEN services I am offering	ISE(S)- I have the follow	.,,	•	•	
License Type	Covers What Ad		Issued By	License No.	
LEGAL SERVICES- (C     X I DO NOT practice law,	,	ffering to you do not invo	olve practicing la	aw.	
$\hfill \square$ I DO practice law, and h	nave an active license to	practice law in Californi	a.		
☐ I DO practice law, but D supervision of the follows:	O NOT have an active li owing attorney who has	•			
Name of attorney: Telephone:					
Address:					
⑤ <b>OUR BUSINESS REL</b> ☐ True / X False: In our b before my interests and th	usiness relationship, I w		ı fiduciary and p	out your interests	
6 MY COMPENSATION-		- ,	fee, salary, etc.	), by the named	
person or company, in connection with the service Way(s) I'll Be Paid		Payment Will Be Made By (name each person or company)			
vvay(s) i	- Bo Faid	1 dyment vviii be iviau	o by (name each	porson or company)	
	_				

#### TINANCIAL PRODUCTS / AFFILIATED ORGANIZATIONS- Check TRUE or FALSE:

 $\Box$  True / X False: I offer or sell annuities, insurance, mutual funds or other financial products; or I am, or my employer is, affiliated with a person or organization that offers or sells annuities, insurance, mutual funds or other financial products.

### ® I certify under penalty of perjury that the responses herein are true to the best of my knowledge.

Date: 5/3/2024	Business Name: TheKey Homecare & Concierge Nursing	
Signature: Kimberly Hayden	Address: 2 Venture, Irvine Ca	
Print Name: Kimberly Hayden	Telephone: 714-883-2282	

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# Protect Yourself

H.E.L.P.

Healthcare and Elder Law Programs

Use the form to "Ask First!"

# See the back for the "Ask First!" form - Keep the form handy.

#### The reason for "Ask First!"

Many planning advisors are well-qualified and capable, and make fair disclosure to their clients. Unfortunately, unqualified or dishonest individuals also pretend to be expert planning advisors; and many of them provide sub-standard services or have hidden financial motives in providing their "planning services."

## Why use "Ask First!"?

- You are entitled to the information which the form requests.
- · You can find out in advance if the person offering planning services to you has legitimate professional credentials.
- You can find out in advance if the person will serve as a fiduciary and put your interests first.
- You can find out in advance if the person has hidden financial motives.

You can use the completed form as evidence, if the person's answers are false.

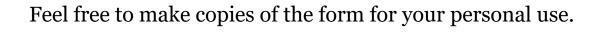
#### When to use "Ask First!"

- Have the person offering planning services complete and return the form to you, *before* you do any other business.
- At the same time, ask for and check the person's references.

#### How to use "Ask First!"

- · If the person is reluctant to complete the form, take this as a warning.
- · If the person will not put your interests first, take this as a warning.
- · Review the person's answers, and look for missing or inconsistent information.
- · Check out the person's licenses and other credentials, and past complaints and sanctions.

If the person doesn't answer all the questions, or if the answers make you uncomfortable, or if the answers do not "check out," do not do business with the person. Look for another planner!



H.E.L.P. is dedicated to empowering older adults and their families by providing impartial information, education and counseling on elder care, law, finances, and consumer protection so they may lead lives with security and dignity.

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