"Ask First!" *This form is to be filled out by any person who is offering legal, financial, retirement, insurance, accounting, estate, long-term care or similar planning services. Respond to **ALL** categories completely; sign and date at the bottom of the page.*

MY EDUCATION- I have achieved the following level of education (check HIGHEST level achieved):

☐ Some High School	☐ Some College
☐ GED	☒ **Bachelors Degree**
☐ High School Diploma	☐ Masters or Other Advanced Degree

MY CREDENTIAL(S)- I have the following specialized credential(s) and training:

- **Certified Financial Planner™ (CFP®) since 2007**
- **Personal Financial Planning Certificant (PFP), completed program in 2006 at UC Irvine**
- **Worked in the financial planning industry for Eclectic Associates for 20 years**

MY RELEVANT LICENSE(S)- I have the following license(s) giving me the legal authority to provide the services I am offering to you (bar license (attorney); securities license; insurance license):

License Type	Covers What Activities	Issued By	License No.
Investment Advisor Representative (IAR)	**Investment advice**	**U.S. Securities and Exchange Commission (SEC)**	**CRD # 5426788**

LEGAL SERVICES - (Check ONE):

☒ **I DO NOT practice law, and the services I am offering to you do not involve practicing law.**
☐ I DO practice law, and have an active license to practice law in California.
☐ I DO practice law, but DO NOT have an active license to practice law in California.

OUR BUSINESS RELATIONSHIP – Check TRUE or FALSE:
☒ **True**/☐False: **In our business relationship, I will at all times serve as a fiduciary and put your interests before my interests and those of my employer.**

MY COMPENSATION- I will be paid in the following way (commission, fee, salary, etc.), by the named person or company, in connection with the services I am offering to you:

Way(s) I'll Be Paid:	Payment Will Be Made By (name each person or company)
Fee only, no commission	**Clients only**

FINANCIAL PRODUCTS / AFFILIATED ORGANIZATIONS - Check TRUE or FALSE:
☐ True/ ☒ **False**: I offer or sell annuities, insurance, mutual funds or other financial products; or I am, or my employer is, affiliated with a person or organization that offers or sells annuities, insurance, mutual funds or other financial products.

I certify under penalty of perjury that the responses herein are true to the best of my knowledge.

Date: **9/14/2021**	Business Name: **Eclectic Associates, Inc.**
Signature:	Address: **1021 W. Bastanchury Rd. #120, Fullerton, CA 92833**
Print Name: **Russell W. Hall**	Email address: **rhall@eclecticassociates.com**
Telephone: **714-738-0220**	Website: **www.eclecticassociates.com**

Russell W. Hall, CFP®
Financial Planner, Co-Owner
Eclectic Associates

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Eclectic Associates provides comprehensive financial planning and investment management to help you feel confident about your money and your future. Based in Fullerton, California, we have been a Registered Investment Advisor Firm providing fee-only, fiduciary services since 1984.

As a fiduciary advisor, we don't act in your best interest simply because we are legally obligated to. We do it because it is the right thing to do.

As a fee-only advisor firm, we do not receive commissions or fees from the investments and services we recommend. By refusing compensation other than client fees, we are better able to provide you with unbiased financial advice.

When Russell Hall started as a file clerk at our firm, he actually had no idea of what financial planning was. That quickly changed, however. He saw the difference that Eclectic Associates was making in people's lives, and he wanted to be a part of it.

Russell already had a knack for numbers and organization. He promptly complemented it with education as a financial advisor, attaining the CERTIFIED FINANCIAL PLANNER™ designation and Personal Financial Planning Certificate.

Now, as a financial advisor at Eclectic, he loves to draw on his organizational knack to get clients' accounts in order while applying his expertise in providing personalized recommendations. His specialties include bond investing, estate planning, and college savings accounts.

A La Mirada resident, Russell is active in the community. His involvement includes serving on the board of La Mirada Community Foundation, and as a secretary and past president for the Rotary Club of La Mirada. He is also active in his church, working in children's ministry and on the worship team. He loves spending time with his wife and daughters, writing and recording music, and is a self-professed aficionado of root beer.