"Ask First!"

This form is to be filled out by any person who is offering legal, financial, retirement, insurance, accounting, estate, long-term care or similar planning services. Respond to ALL categories completely; sign and date at the bottom of the page.

① **MY EDUCATION-** I have achieved the following level of education (check HIGHEST level achieved):

☐ Some High School	☐ High School Diploma	☐ Bachelors Degree
☐ GED	☐ Some College	X Masters or other Advanced Degree

② **MY CREDENTIAL(S)-** I have the following specialized credential(s) and training (examples: CFP, ChFC, CLU, CPA, JD, MBA, years of relevant experience):

MS in Personal Financial Planning; CPA; CFP®; CDFA™ Specialized training in income tax, estate tax, retirement planning, charitable & financial planning. Practicing CPA since 1982; practicing CFP® professional since 1988. 40 years experience.

③ **MY RELEVANT LICENSE(S)-** I have the following license(s) giving me the legal authority to provide the services I am offering to you (examples: bar license (attorney); securities license; insurance license):

License Type	Covers What Activities	Issued By	License No.
Certified Public Accountant	Tax and accounting	California	034060E
Certified Financial Planner	Financial planning	CFP Board of Standards	022220
Certified Divorce Fin'l Analyst	Divorce planning	Inst of Div Fin'l Analysts	104153

④ **LEGAL SERVICES-** (Check ONE):

X I DO NOT practice law, and the services I am offering to you do not involve practicing law.

☐ I DO practice law, and have an active license to practice law in California.

☐ I DO practice law, but DO NOT have an active license to practice law in California. I am, however, under the supervision of the following attorney who has an active license to practice law in California:

Name of attorney:	Telephone:
Address:	

⑤ **OUR BUSINESS RELATIONSHIP-** Check TRUE or FALSE:

X True / ☐ False: In our business relationship, I will at all times serve as a fiduciary and put your interests before my interests and those of my employer.

⑥ **MY COMPENSATION-** I will be paid in the following way (commission, fee, salary, etc.), by the named person or company, in connection with the services I am offering to you:

Way(s) I'll Be Paid	Payment Will Be Made By (name each person or company)
1% per year on first $1MM of assets under mgmt.	The client/account holder
(ask for fee schedule for amounts over $1MM)	
$300/hour consulting/planning fee	The client

⑦ **FINANCIAL PRODUCTS / AFFILIATED ORGANIZATIONS-** Check TRUE or FALSE:

☐ True / X False: I offer or sell annuities, insurance, mutual funds or other financial products; or I am, or my employer is, affiliated with a person or organization that offers or sells annuities, insurance, mutual funds or other financial products.

⑧ ***I certify under penalty of perjury that the responses herein are true to the best of my knowledge.***

Date: 09/20/2020	Business Name: Inspired Financial LLC
Signature: M Prendergast	Address: 5011 Argosy Ave, Ste 7, Huntington Beach, CA 92649
Print Name: Mark L Prendergast	Telephone: office (714) 971-0663 mark@InspiredFinancial.biz

Mark Prendergast has 40 years of experience in tax, estate and financial planning. He started his career in 1979 at Ernst & Whinney and then joined Price Waterhouse in 1982 and acquired his Certified Financial Planner™ designation in 1988. He regularly teaches on income tax, estate and divorce topics at professional conferences (FPA, NAPFA, CalCPA, WealthCounsel, Institute for Divorce Financial Analysts).

Mark served on the national Board of Directors for the Financial Planning Association from 2011-2013, and currently serves on the Advisory Board for The Center for Investment and Wealth Management at UC Irvine. His service for the Financial Planning Association includes board positions and committee work for two local chapters, and at the regional, state and national levels. He has serves on the Editorial Review Board for the Journal of Financial Planning (2002-current).

Over his career, Mark has served many roles in non-profit organizations, including the Board of Directors for New Life for Girls (women's recovery home), The Birthing Center (birthing advocacy group), Valley Christian Center (church), and Fresno New Connections (a substance abuse clinic).

Mark is married to Evelyn Zohlen, and they truly enjoy life's adventures together from work-to-travel-to-Disney-and beyond! They miss the three adult children who live too far away for his pleasure: Alison (MBA), Josiah (JD), and Martha May (RN/MSN/CCRN), all successfully launched in their careers.